

File format for reporting of securities Pay-in / Pay-out shortages data by Members

File format: Comma Separated (csv)

Location on extranet: /faoftp/F<MemberCode>/SEC_SHRT/Upld

Naming Convention of the file: Shortage_reporting_ddmmyyyy.Xnn

where,

ddmmyyyy = Settlement date

X = File Indicator (T = File coming from the member, S = Success File sent to the members, R = Reject File sent to the members)

nn = incremental batch no. for settlement date starting with '01'

Control record Format:

Sr. No.	Field	Length	Mandatory/ Optional	Description	Expected sample value
1.	Record Type	CHAR(2)	Mandatory	Value = 10	10
2.	Settlement Date	DATE	Mandatory	Same as date in filename	DDMMYYYY
3.	Total No. of Records	Number(7)	Mandatory	Total number of records in file	

Detail Record Format:

Sr. No.	Field	Length	Mandatory/ Optional	Description	Expected sample value
1.	Record Type	CHAR(2)	Mandatory	Value = 20	20
2.	Market Type	CHAR(2)	Mandatory	01 - Normal 02 - T2T 03 - Auction 04 - SLBM 05 - Derivatives Delivery	01 or 02 or 03 or 04 or 05
3.	Settlement No.	CHAR(8)	Mandatory	Example: XNNNNNNN Where X = Settlement Type NNNNNNN = Settlement Number Values for settlement type = N/W/A/L/P/F/D Description :- N = Normal W= Trade for Trade A= Auction L= First Leg (slb) P= Reverse Leg (SLB)	N2018100

				Q= Reverse Leg Auction (SLB) F= Physical Settlement – Stock Derivatives D=Auction of Physical Settlement – Stock Derivatives	
4.	Unique Client code (UCC)	CHAR(10)	Mandatory		
5.	ISIN	CHAR(12)	Mandatory		
6.	Symbol	CHAR(10)	Mandatory		
7.	Transaction Type	CHAR(1)	Mandatory	Value B /S (Description : Buy/Sell)	B or S
8.	Quantity delivered short in the settlement including Internal Shortage (A)	Number (12,3)	Mandatory	999999999.999	Decimal not required if value is a whole number
9.	Quantity cleared in subsequent auction by delivery by CC (B)	Number (12,3)	Mandatory	999999999.999	Decimal not required if value is a whole number
10.	Quantity cleared in subsequent auction by close out by CC (C)	Number (12,3)	Mandatory	999999999.999	Decimal not required if value is a whole number
11.	Balance to be reconciled - Qty settled by member internally (D) (D = A – (B+C))	Number (12,3)	Mandatory	999999999.999	Decimal not required if value is a whole number. If balance is zero, Reconciliation (E) shall be zero.
12.	Reconciliation (E)	CHAR(1)	Mandatory	1 - Purchased by member in own account 2 - Purchased by member in client account 3 - Closed out by member	1 or 2 or 3